

2742. The modal analysis of three-dimension gun barrel using isogeometric analysis and its application to optimization

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Abstract. In this paper, the Isogeometric Analysis (IGA) is applied to make the modal analysis for 3D gun barrel. The geometry of IGA is modeled by Non-Uniform Rational B-Splines (NURBS) which is exact and smooth even at the coarsest mesh level. The first 9 natural frequencies were calculated respectively by IGA and traditional finite element method (ABAQUS), and compared with the test results. The maximum relative error between numerical value and experimental value is less than 3.6 % which verifies the feasibility of numerical model. Six different refinement schemes were analyzed to compare the computational accuracy and efficiency of IGA and ABAQUS. To achieve the credible results, the CPU time of ABAQUS is more than twice of IGA. On this basis, the IGA method is used for the structural shape optimization of gun barrel. The first natural frequency is increased by 6.96 % and the barrel mass is reduced by 4.9 %.

Keywords: isogeometric analysis, modal analysis, gun barrel, structural shape optimization.

1. Introduction

During the launching process of artillery, the barrel will vibrate violently under a series of complex load excitations including the powder gas pressure, the contact force of projectile and the recoil resistance, etc. The vibration directly affects the firing dispersion extent and even results in devastating consequences. Therefore, it is very necessary to study the vibration characteristics of barrel. The modal analysis is used to determine the vibration characteristics, natural frequencies and mode shapes, and it is also the basis of further dynamic analysis. The common method to get the modal parameters of barrel includes the experiment modal analysis and finite element modal analysis. However, it is difficult to conduct the experiment modal analysis restricted by the experimental conditions. Hence, the virtual modal analysis becomes the most commonly used method in the design and optimization process.

At present, most of the virtual modal analysis is based on the traditional FEA whose application platform is very mature (such as ABAQUS, ANSYS, etc.). Su Z. [1] updated the finite element model of a gun barrel based on modal test which significantly improved the accuracy of model. The structural characteristics of barrel was reflected more precisely, and it provided a more realistic model for the analysis of firing accuracy. Dou Z. [2] studied the tube dynamics feature based on FEM. The first 50 modes were calculated by finite element method and compared with the experimental results. In the aspect of barrel optimization, Liu Q. [3] optimized the barrel of a mortar based on ANSYS, the barrel weight is reduced by 13 % after simulation optimization and the light weight design of the barrel is effective and reliable. Fang J. [4] present a multi-objective optimization of barrel combining Neural Networks and the genetic algorithms based on ANSYS. It provided an improved optimization effect and a higher efficiency.

The above work is generally based on the traditional finite element method which has two insurmountable defects: the finite element mesh is the approximation of geometry, the design

model (CAD) and analysis model (CAE) are separate. To get a relatively accurate barrel model, we have to refine the FE mesh by very small size which greatly increases the system DOF. Correspondingly, it will cost more computation. On the other hand, the separation between CAD and CAE distinctly results in difficulties in structural optimization. The interactive process of design model and analysis model will occur several times and even a dozen times. The re-meshing process which turns the design model to analysis model will take a lot of time and effort.

In order to obtain a more accurate model and higher computational efficiency, the IGA [5-7] method is introduced to the modal analysis of barrel. NURBS can exactly describe the circular geometry even at coarse mesh level [8], and it takes obvious advantage in building the model because the barrel is constituted by a series of cylinders. The DOF of NURBS model is much less than that of FE model, thus, the computation scale of IGA will be much smaller than traditional FEA. Furthermore, the uniform of design model and analysis model in IGA will provide many advantages in the optimization process [9-17].

This paper is organized as follows. Section 2 introduces the modeling process of gun barrel. Section 3 presents the modal analysis based on IGA, the results of modal analysis are compared between IGA, ABAQUS and experiment and the computing time are compared then. Section 4 shows the structural optimization based on genetic algorithm and Section 5 concludes the work.

2. NURBS model of barrel

For the details and extensive references about NURBS, the reader is referred to Piegl and Tiller and Cottrell et al. [18-22]. Here we present the modeling process of the three-dimension barrel based on NURBS.

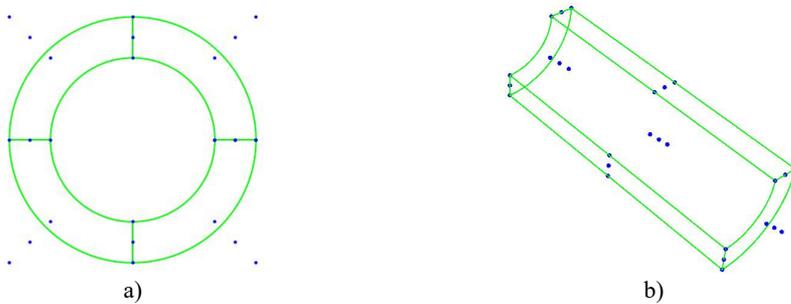


Fig. 1. Diagram of circle and a quarter of cylinder

As the barrel is composed by several cylinders and the cylinder is stretched by circle, we should build a circle first of all. Fig. 1(a) shows a two-dimension NURBS circle, the blue points are the control points and the green lines are the control mesh (all the orders of NURBS geometry in this paper is 2). If we stretch the circle along a certain direction by a certain length, a cylinder is formed. For the sake of intuition, Fig. 1(b) gives a quarter of the cylinder.

The gun barrel is reduced to five parts, Fig. 2 shows the diagram of barrel size and the different section line represents the different NURBS patch. The NURBS control mesh (drawn by Tecplot) of barrel is shown as Fig. 3 and the detailed parameters are present in Table 1.

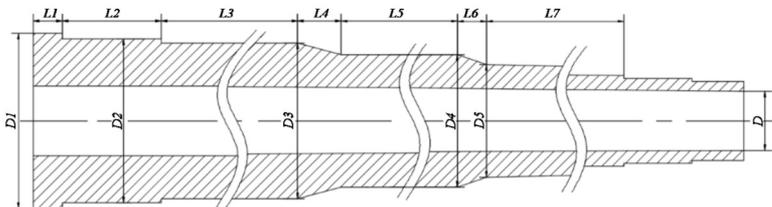


Fig. 2. Diagram of barrel size

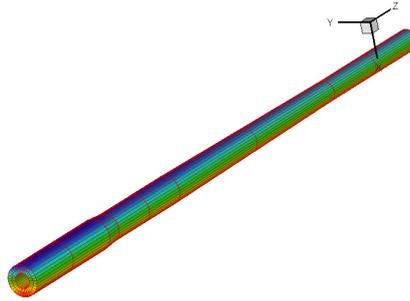


Fig. 3. Diagram of barrel control mesh

Table 1. Parameters of barrel

Parameter	Value	Parameter	Value
L_2	170 mm	D_4	282 mm
L_3	1680 mm	D_5	259 mm
L_5	1625 mm	Young's modulus (E)	2.1E5 MPa
D_2	335 mm	Poisson's ratio (ν)	0.3
D_3	322 mm	Density (ρ)	7.85E-9 Ton/mm ³

3. The modal analysis of barrel based on IGA

The Isogeometric modal analysis of 2D problem is present in my previous work [23] and the 3D problem is given in this section. The computation efficiency and accuracy are verified by comparing with standard FEA (ABAQUS).

3.1. Isogeometric modal analysis

The differential equation for free vibration can be written by:

$$\mathbf{M}\ddot{\mathbf{U}} + \mathbf{K}\mathbf{U} = \mathbf{0}, \tag{1}$$

where \mathbf{M} is the global mass matrix, \mathbf{K} is the global stiffness matrix and \mathbf{U} is the global displacement vector.

By assuming $\mathbf{U} = e^{i\omega t}\bar{\mathbf{U}}$, Eq. (1) can be rewritten as:

$$(\mathbf{K} - \omega^2\mathbf{M})\bar{\mathbf{U}} = \mathbf{0}. \tag{2}$$

To solve the equation, it becomes the eigenvalue problem:

$$(\mathbf{K} - \omega^2\mathbf{M}) = \mathbf{0} \tag{3}$$

where $\bar{\mathbf{U}}$ is the associated displacement vector and the solution of Eq. (3) are the natural frequencies.

By using the NURBS basis functions, the approximated displacement functions for volume can be present as:

$$R_{i,j,k}(u_1, u_2, u_3) = \frac{N_{i,p}(u_1)N_{j,q}(u_2)N_{k,r}(u_3)w_{i,j,k}}{\sum_{e=1}^{n_1} \sum_{f=1}^{n_2} \sum_{g=1}^{n_3} N_{i,p}(u_1)N_{j,q}(u_2)N_{k,r}(u_3)w_{e,f,g}}, \tag{4}$$

$$\mathbf{u} = \sum_{A=1}^{n_{cp}} R_A \mathbf{u}_A, \tag{5}$$

where $N_{i,p}(u_1)$, $N_{j,q}(u_2)$ and $N_{k,r}(u_3)$ are the normalized B-Splines basis functions whose degree

are p_1, p_2 and $p_3, w_{i,j,k}$ are the associated weights of control points, n_{cp} is the number of control points, R_A and \mathbf{u}_A are the NURBS basis function and displacement corresponding to the control point A respectively.

As the discretization of displacement is given above, we can start from the following fundamental equation:

$$\boldsymbol{\varepsilon} = \mathbf{L}\mathbf{u} = \mathbf{B}\mathbf{u}^e, \tag{6}$$

where $\boldsymbol{\varepsilon}$ is the element strain, \mathbf{L} is the differential operation, \mathbf{B} is the strain-displacement matrix, \mathbf{u}^e is the element displacement vector.

According to the principle of isoparametric transformation, the matrix \mathbf{B} gives:

$$\mathbf{B} = \mathbf{J}^{-1} \begin{bmatrix} \frac{\partial R_A}{\partial u_1} & \frac{\partial R_A}{\partial u_2} & \frac{\partial R_A}{\partial u_3} \\ \frac{\partial R_A}{\partial u_2} & \frac{\partial R_A}{\partial u_1} & \frac{\partial R_A}{\partial u_3} \\ \frac{\partial R_A}{\partial u_3} & \frac{\partial R_A}{\partial u_2} & \frac{\partial R_A}{\partial u_1} \end{bmatrix}^T, \tag{7}$$

$$\mathbf{J} = \frac{\partial(x, y, z)}{\partial(u_1, u_2, u_3)} = \begin{bmatrix} \sum_{i=1}^{n_{cp}} \frac{\partial R_i}{\partial u_1} x_i & \sum_{i=1}^{n_{cp}} \frac{\partial R_i}{\partial u_1} y_i & \sum_{i=1}^{n_{cp}} \frac{\partial R_i}{\partial u_1} z_i \\ \sum_{i=1}^{n_{cp}} \frac{\partial R_i}{\partial u_2} x_i & \sum_{i=1}^{n_{cp}} \frac{\partial R_i}{\partial u_2} y_i & \sum_{i=1}^{n_{cp}} \frac{\partial R_i}{\partial u_2} z_i \\ \sum_{i=1}^{n_{cp}} \frac{\partial R_i}{\partial u_3} x_i & \sum_{i=1}^{n_{cp}} \frac{\partial R_i}{\partial u_3} y_i & \sum_{i=1}^{n_{cp}} \frac{\partial R_i}{\partial u_3} z_i \end{bmatrix}, \tag{8}$$

where \mathbf{J} is the Jacobian mapping physical and parametric domains, (x_i, y_i, z_i) are the coordinates of control points.

By implementing the virtual displacement method with the existence of body forces \mathbf{b} and traction forces \mathbf{T} , we can write:

$$\int_{\Omega} (-\delta \boldsymbol{\varepsilon}^T \boldsymbol{\sigma} + \delta \mathbf{u}^T \mathbf{b}) d\Omega + \int_{\Gamma} \delta \mathbf{u}^T \mathbf{T} d\Gamma = 0. \tag{9}$$

Substituting the Eq. (6) and the constitutive equation, $\boldsymbol{\sigma} = \mathbf{D}\boldsymbol{\varepsilon}$, in Eq. (9), the stiffness matrix can be obtained as:

$$\mathbf{K} = \int_{\Omega} \mathbf{B}^T \mathbf{D} \mathbf{B} d\Omega, \tag{10}$$

as well as the mass matrix:

$$\mathbf{M} = \rho \int_{\Omega} \mathbf{B}^T \mathbf{B} d\Omega, \tag{11}$$

where \mathbf{D} is the elastic matrix, ρ is the material density.

3.2. Numerical example

To demonstrate the performance of modal analysis based on IGA, ABAQUS is selected to compare the simulation results between IGA and FEA. The analysis model is built in Section 2 and the first 9 natural frequencies are calculated. In the finite element method, the calculation

begins to converge after the mesh refinement increase to a certain level. We assume that the results which achieve the convergence are the receivable simulation values. Table 2 and Table 3 shows the simulation results with six different refinement level, respectively (the unit of all the frequencies is Hz).

Table 2. The first 9 natural frequencies using IGA

Mode	DOF					
	1620	5760	17280	31104	58752	72567
1	20.21	20.79	21.12	21.13	21.13	21.13
2	20.21	20.79	21.12	21.13	21.13	21.13
3	54.56	55.85	58.17	58.17	58.18	58.19
4	54.56	55.85	58.17	58.17	58.18	58.19
5	100.67	105.03	112.09	112.11	112.14	112.15
6	100.67	105.03	112.09	112.11	112.14	112.15
7	155.17	168.02	183.81	183.85	183.86	183.88
8	155.17	168.02	183.81	183.85	183.86	183.88
9	174.04	185.05	206.82	206.97	207.01	207.2

Table 3. The first 9 natural frequencies using ABAQUS

Mode	DOF					
	21708	28944	123264	308988	412128	837648
1	20.626	21.039	21.198	21.31	21.345	21.353
2	20.626	21.039	21.198	21.31	21.345	21.353
3	56.545	57.840	58.122	58.436	58.532	58.55
4	56.545	57.840	58.122	58.436	58.532	58.55
5	108.67	111.04	111.65	112.23	112.42	112.45
6	108.67	111.04	111.65	112.23	112.42	112.45
7	178.14	181.62	182.82	183.77	184.06	184.12
8	178.14	181.62	182.82	183.77	184.06	184.12
9	200.2	203.24	205.81	207.17	207.51	207.70

Both results confirm the inference: the calculations approach the reference value along with the increasing of DOF. For better comparison, we choose the 1st natural frequency and 5th natural frequency to illustrate the tendency, as shown in Fig. 4. Because of the large span of the abscissa, we use exponential index for x axis. The mode shapes of 5th are compared in Fig. 5.

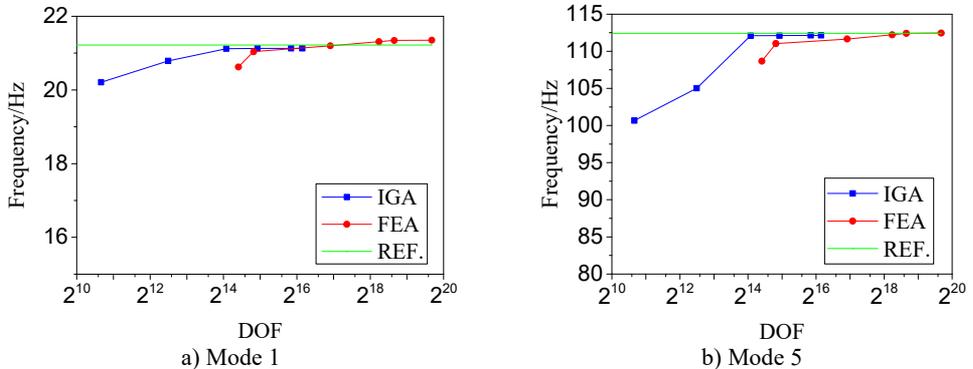


Fig. 4. Curves of frequency-DOF

For the 1st natural frequency, IGA reach the reference value when the DOF achieve about 2^{14} and FEA comes near the reference value when the DOF achieve a little less than 2^{16} . The convergence gap is more obvious for the 5th natural frequency, it differs by more than four orders of magnitude. Thus, we can give a conclusion that the DOF needed in traditional FEA is at least

two orders of magnitude more than that in IGA to reach the credible result.

Table 5 gives the computing parameters and Table 6 compares the CPU time of modal analysis. We can find that the CPU time used in IGA is more than twice less than that of FEA which verifies the calculation efficiency of IGA. Fig. 6 gives the running process of IGA using FORTRAN language.

Table 5. The computing parameters of FEA and IGA

Parameters	FEA	IGA
CPU	Intel(R) Core(TM) i7-4700HQ @ 2.40GHz 2.40 GHz	
RAM		8.00 GB
Operating system	Windows 10 Professional Edition	
Modes	First 20	
Model DOF	308988	16848
Computing platform	ABAQUS	IVF (Intel Visual Fortran)
Solver	Solver in ABAQUS	MKL (DFEAST SCSRGV)

Table 6. The CPU time of different element type

Element type	CPU Time/s	
	1	2
C3D8	55.92	56.62
C3D8R	52.83	53.57
NURBS	17.97	18.12

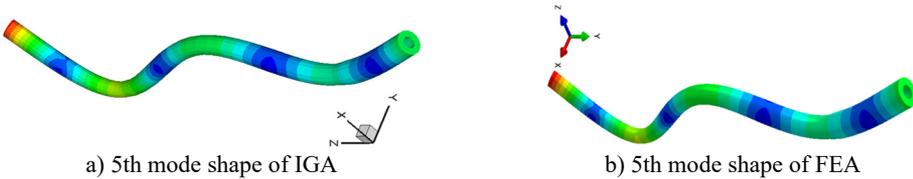


Fig. 5. Comparisons of mode shapes

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COMPLETED REPLACE THE REPEATED CENTRAL POINTS
TOT_DOF 16848
COMPLETED GET THE NONZERO IN SPARSE MATRIX
COMPLETED GET THE SPARSE MATRIX INFORMATION IN SCR TYPE
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Intel MKL Extended Eigensolvers: fpm(6)=1
Search interval [1.000000000000000e+003;1.000000000000000e+007]
Intel MKL Extended Eigensolvers: Size subspace 30
Loop 1 #Eig 1 Trace 1 Error=Trace 1 Max-Residual
Intel MKL Extended Eigensolvers: Resize subspace 27
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1.15, 3.89685072387083e+007, 1.15260481834411e-011, 7.5977435308221e-012
2.15, 3.89685072387083e+007, 2.02657922983231e-013, 2.4177760155959e-012
Intel MKL Extended Eigensolvers have successfully converged (to desired tolerance).
July 17 2017 4:22:15.533 PM
    
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Fig. 6. The running process of FORTRAN

3.3. Experimental results

Fig. 7 gives the physical map of barrel and Fig. 8 shows the test platform. The modal test software is PULSE 7753 of B&K, the data acquisition system is 3050-A-060 of B&K, the acceleration sensor is 8702B50 of KISTLER, the force harmer is 9728A20000 of KISTLER, the modal parameter identification software is PULSE Reflex of B&K.



Fig. 7. The physical map of barrel

Table 7 gives the comparison of numerical results and experimental results. We can find that the the maximum relative error between numerical value and experimental value is less than 3.6 % which verifies the feasibility of numerical model.



Fig. 8. The test platform

Table 7. The comparison of numerical results and experimental results

Mode	Experimental results	ABAQUS	IGA
1	20.59	21.345	21.13
2	20.59	21.345	21.13
3	57.26	58.532	58.18
4	57.26	58.532	58.18
5	110.37	112.42	112.14
6	110.37	112.42	112.14
7	183.57	184.06	183.86
8	183.57	184.06	183.86
9	206.89	207.51	207.01

4. The structural optimization based on genetic algorithm

4.1. Optimization model

There are three elements in the optimization design: design variable, constraint condition and objective function. Referring to the model built in Section 2, the design variables are given as follow:

$$\mathbf{X} = (x_1, x_2, x_3, x_4, x_5, x_6, x_7)^T, \tag{12}$$

where x_i are corresponding to the following equation:

$$\begin{cases} x_1 = D_2, & x_2 = D_3, & x_3 = D_4, & x_4 = D_5, \\ x_5 = L_2, & x_6 = L_3, & x_7 = L_5. \end{cases} \tag{13}$$

The optimization objective in this work is improving the 1th natural frequency and decrease the barrel mass. The objective function is:

$$f(\mathbf{X}) = -w_1 f_1(\mathbf{X}) + w_2 f_2(\mathbf{X}), \tag{14}$$

where $f_1(\mathbf{X})$ is the 1st natural frequency of barrel, $f_2(\mathbf{X})$ is the mass of barrel, w_i are the weight coefficients.

We set the seven design variables which are allowed to float up and down by ten percent as the constrain conditions. The boundary of the design variables are shown in Table 8. Meanwhile, the barrel should meet the strength requirements at the most dangerous section:

$$\sigma_m \leq [\sigma], \tag{15}$$

and the geometric dimension should satisfy the following relationships:

$$x_1 \geq x_2 \geq x_3 \geq x_4. \tag{16}$$

In order to ensure the closure of the barrel structure size, the total length L and the length of small slope L_4 and L_6 remain unchanged. The L_7 gives:

$$L_7 = 7955 - L_2 - L_3 - L_5. \tag{17}$$

By integrating the above three elements, the mathematical model of this problem can be obtained by:

$$\left\{ \begin{array}{l} \text{Find: } (x_1, x_2, x_3, x_4, x_5, x_6, x_7)^T \in R, \\ \text{min: } f(\mathbf{X}) = -w_1 f_1(\mathbf{X}) + w_2 f_2(\mathbf{X}), \\ \text{s.t: } f_1(\mathbf{X}) \geq \bar{f}_1(\mathbf{X}), \\ f_2(\mathbf{X}) \leq \bar{f}_2(\mathbf{X}), \\ x_1 \geq x_2 \geq x_3 \geq x_4, \\ \sigma_m \leq [\sigma], \\ x_i \leq x_i \leq \bar{x}_i, \quad (i = 1, 2, \dots, 7), \end{array} \right. \tag{18}$$

where \mathbf{X} are the design variables, x_i is the minimum design dimension, \bar{x}_i is the maximum design dimension, σ_m is the stress of the most dangerous section, $[\sigma]$ is the yield stress of material, $\bar{f}_1(\mathbf{X})$ and $\bar{f}_2(\mathbf{X})$ are the 1st natural frequency and the mass before optimization.

The optimization algorithm selected in this paper is genetic algorithm. There are many advantages such as: rapid random search capability, robustness, potential parallelism and expandability etc. It is widely used in various fields and the algorithm process is very mature [24-27]. Here we don't need to introduce the algorithm but give the parameters shown in Table 9.

Table 8. The space of design variables

Design variable	Initial value	Lower bound	Upper bound
x_1 / mm	335	318	350
x_2 / mm	322	306	342
x_3 / mm	282	268	308
x_4 / mm	259	246	270
x_5 / mm	170	153	187
x_6 / mm	1680	1596	1800
x_7 / mm	1625	1462	1745

Table 9. Parameters of genetic algorithm

Parameter	Value
Population size	50
Maximum generation	80
Crossover rate	0.8
Mutation rate	0.1

4.2. Optimization results and analysis

The dimension parameters and optimization objectives before/after optimization are shown in Table 10. We find that the thickness at D_2 and D_3 should be increased and the other two radiuses can be appropriately reduced. For the length, L_2 , L_3 and L_4 have increased in varying degrees and the length near the muzzle, L_7 , whose thickness is smaller has been cut down a lot. It means that the thick parts are beneficial to strengthen the barrel stiffness.

The 1st natural frequency is enhanced from 21.345 Hz to 22.83 Hz which increased by 6.96 % and the mass of barrel is changed from 2.465 tons to 2.343 tone which reduced by 4.9 %. The results meet the optimization objective and prove the feasibility of the proposed method.

Fig. 9 shows the interactive process between CAD and CAE of traditional FEA. As the CAD model and FE mesh are separate, we have to transform the model in every optimization step. The design model and analysis model in IGA are both NURBS which unifies the interactive process as shown in Fig. 10. It brings great convenience and makes the optimization process very concise.

Table 10. Dimension parameters and optimization objectives before/after optimization

Parameters	Initial	Optimization results
x_1 / mm	335	339.7
x_2 / mm	322	329.1
x_3 / mm	282	279.4
x_4 / mm	259	249.0
x_5 / mm	170	180.6
x_6 / mm	1680	1707.7
x_7 / mm	1625	1672.3
1st natural frequency / Hz	21.345	22.83
Mass / ton	2.465	2.343

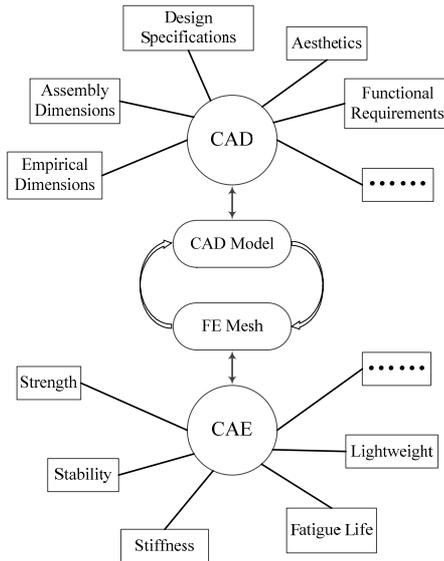


Fig. 9. The interactive process between CAD and CAE of traditional FEA

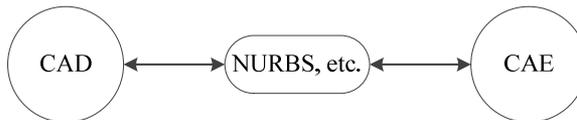


Fig. 10. The interactive process between CAD and CAE of IGA

5. Conclusions

In this paper, we have applied the Isogeometric analysis method to the modal analysis and optimization of gun barrel. The motivation of this research is based on two facts:

1) The barrel is consisting of circle structure which is perfectly fit for NURBS. It not only provides smooth boundary, but also gives accuracy description even at the coarse mesh level. The model based on NURBS lead to a smaller scale of DOF and the computation efficiency can be

improved accordingly.

2) The separation between CAD and CAE in traditional FEA has seriously affected the computation efficiency of optimization work. However, the uniformity of design model and analysis model in IGA provides a good solution for this problem.

The modeling process of three-dimension barrel based on NURBS is present. The modal analysis with six different level of mesh refinements are compared between IGA and traditional FEA (ABAQUS). The accuracy of the numerical model is verified by comparing with the experimental results. The CPU time of the numerical analysis proves the efficiency of Isogeometric Analysis. The optimization based on genetic algorithm is investigated and the obtained results showed the feasibility of the proposed method. The further work would extend this approach to the muzzle disturbance optimization.

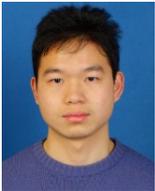
Acknowledgements

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