

# 2542. Condition monitoring and fault diagnosis methods for low-speed and heavy-load slewing bearings: a literature review

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**Abstract.** Low-speed and heavy-load slewing bearings are applied broadly for major mechanical equipment. Compared with ordinary bearings, large slewing bearings have complex structures and work in variable environments. In order to increase productivity, reduce maintenance costs, and ensure the safety of people and equipment, it is of great importance to monitor and diagnose faults in real time. This paper aims at providing a state-of-the-art review on methods for condition monitoring and fault diagnosis of low-speed and heavy-load slewing bearings, including methods based on vibration analysis, acoustic emission technique, oil condition and temperature variation. Additionally, this paper discusses advantages and disadvantages of different methods. Finally, the current needs and challenges are presented to provide a reference for future research.

**Keywords:** large slewing bearings, low-speed, heavy-load, condition monitoring, fault diagnosis.

## 1. Introduction

Low-speed and heavy-load slewing bearings are a subgroup of rolling element bearings that have been developed for nearly four decades. These bearings are commonly used in hoisting and conveying machines [1-3], material processing machines, harbor machines [4, 5], metallurgical machines [6, 7], wind power generation [8-13], medical facilities [14, 15], radars and missile launchers [16]. Because of the influence of multiple indexes on the process of design, manufacture, assembly, and use, large slewing bearings are typically to break down. The rotational speed of a large slewing bearing usually ranges from 0.1 rpm to 5 rpm [17] and the load-bearing capacity is high in a complex working condition. In order to keep productive progress smooth and prevent unplanned downtime, it is necessary to monitor the condition and diagnose fault of slewing bearings in a timely manner.

With the improvement of science and technology and the progress of human society, scientific or production accidents tend to be more unexpected and potentially catastrophic [18-20]. Therefore, safety problems attract more and more attention. The safety tests and online health monitoring of machines are important measures to guarantee the safe service of major equipment [21]. These safety tests utilize physical or chemical methods to obtain structural fault information and determine the safety status. Online health monitoring uses sensor, data acquisition, and network technology to obtain the functional health information of machines and determine anomaly information for subsequent processing. In recent years, heavy and large-size equipment has been the major pillar of the national economy. Thus, methods for condition monitoring and fault diagnosis have always been a focus of attention and study. As the importance of structural health monitoring (SHM) [22-24] has become better recognized, the methods are further developed.

This monitoring can prevent accidents and may decrease personal and property loss. The differences between large slewing bearings and ordinary rolling element bearings are size and shape and also structure and function. The large slewing bearings enable the relative rotation of

two structural parts [25] and have applications in a wide range of machines. If condition monitoring and fault diagnosis are not available in the early stages of the bearing damage development, it is difficult to avoid economic loss caused by suspending production necessary to ensure personal safety. Large slewing bearings tend to be used in heavy and complex machines, so any faults with these bearing may damage the machines. The maintenance cycle of these machines is fairly long, which can additionally seriously affect the production efficiency and profits. Therefore, condition monitoring and fault diagnosis to determine incipient fault of large slewing bearings have strong practicality. Additionally, the monitoring can promote development of a more modern equipment manufacturing industry and enhance the commodity competitive power of major products and facilities. The working characteristics of large slewing bearings include heavy load, low speed, and intermittent movement. Considering the differences in material property in manufacturing process, dimensional coordination in the assembling process, and loading conditions in the usage process, the time of failure can vary significantly even for the same type of slewing bearings. Therefore, condition monitoring and fault diagnosis can ensure the operational reliability of major equipment. The fault information will be analyzed to determine the cause of failure and can feed back to manufacturers, allowing them to improve the quality of products. Since the 1970s, low-speed and heavy-load slewing bearings have been well studied by many scholars and industries successively. This research area has received more and more attention over time. Taking WEB OF SCIENCE™ as the retrieval source, Fig. 1 presents the number of published articles relating to slewing bearings.

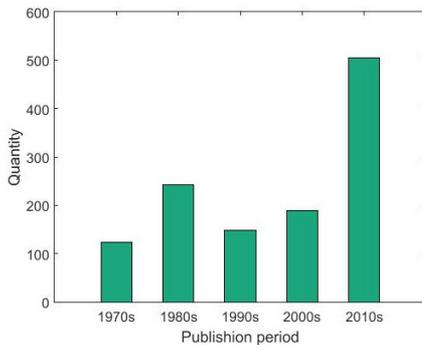


Fig. 1. The number of published articles relating to large slewing bearings

The article is organized as follows. Section 2 presents the structural features and the main faults of large slewing bearings. Section 3 presents the basic introduction of the methods of condition monitoring and fault diagnosis for large slewing bearings. Section 4 presents and compares the methods in detail. Section 5 discusses the current needs and challenges of the methods. Finally, conclusions are drawn in Section 6.

## 2. The structural features and the main faults of large slewing bearings

### 2.1. The structural features of large slewing bearings

Large slewing bearings are “the joints of machines” and can simultaneously support axial loads, radial loads, and overturning moment [26], allowing these bearings to work for many functions including supporting, rotation, transmission, and fixation. The rolling elements of typical slewing bearings with gears in the inner or outer rings are balls or rollers. Different organizations, such as the Ministry of Industry and Information Technology of the People’s Republic of China and the American National Standards Institute, classify large slewing bearings into different types. Each type of large slewing bearings is applied in different fields. In general, according to the number of rows, large slewing bearings (with internal gears) can be divided into three categories (see Fig. 2).

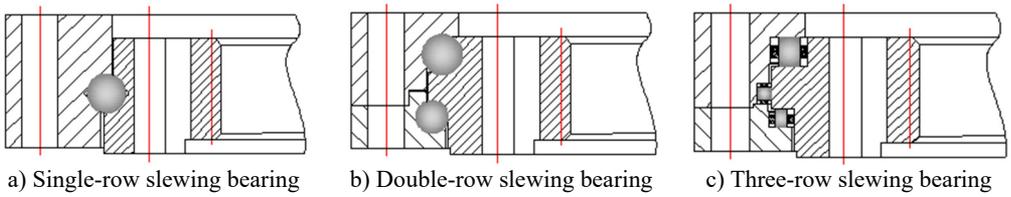


Fig. 2. Classification of large slewing bearings (with internal gears)

### 2.2. The main faults of large slewing bearings

The structure of low-speed and heavy-load slewing bearings are more complex than rolling element bearings. As shown in Fig. 1, the large slewing bearing, taking the three-row slewing bearing for example, consists of an outer ring, inner ring, rolling elements, isolation blocks, connecting bolts, and other components [25]. Fig. 3 presents the typical faults positions. According to the different positions, the main faults of large slewing bearings are shown in Table 1.

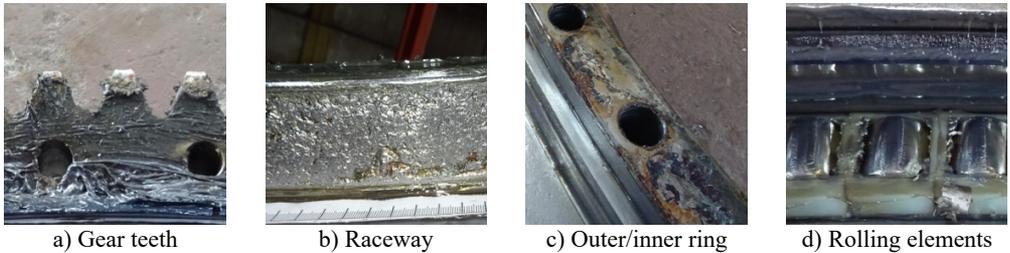


Fig. 3. The typical faults positions

Table 1. The main faults of three-row roller slewing bearings with inner gears

Location of fault	Main fault	Main cause
Outer/inner ring	Tooth surface abrasion or pitting	Abrasive dusts dropping in the meshing area or frequent braking load impacts [27]
	Tooth breakage or fatigue crack	Frequent load impacts caused by low-speed and heavy-load operation conditions [28]
Rolling element	Fatigue flaking	Alternating load acting on the surface of rolling elements [29]
		Accumulation of internal energy through dislocations and microcracks [30]
		Poor lubrication [31]
	Crack or pitting	Improper assembly, overload or poor lubrication [32]
High intensity of periodic contact load between rolling elements and raceway leading to fatigue crack growth [33, 34]		
Connecting bolt	Fatigue crack or fracture	Fail to prevent loosening [35]
		Alternate stress [36]
Sealing ring	Distortion or abrasion	Material aging, plastic deformation or other man-made reasons
Oil cup	Leak or blocking	Impurities dropping into the cup, etc

### 3. The development of condition monitoring and fault diagnosis methods for large slewing bearings

The research of condition monitoring and fault diagnosis methods can be traced back to the 1960s, originating in the US and spreading throughout the world [37]. Condition monitoring aims at determining the mechanical equipment condition based on the technical status in operation, including determining whether the operation is normal and tracking the abnormal condition for trend prediction. Fault diagnosis aims at analyzing equipment faults by monitoring and analysis

methods to determine the types and locations of faults. This can reveal the need for equipment repair and improvement to ensure the continued reliability and stability of operation. For large slewing bearings, the methods of condition monitoring and fault diagnosis mainly adopt nondestructive detection technology to monitor the condition of the slewing bearings in real time. From this data, the failure features are extracted and analyzed to determine the types and the locations of the faults and ensure the normal operation of the large slewing bearings. In general, the development of condition monitoring and fault diagnosis for the large slewing bearings can be roughly separated into the following three stages.

### **3.1. Stage I. Monitoring and diagnosis based on human experience**

At the start of industrial development, there was no theoretical system of condition monitoring and fault diagnosis. Without professional testing equipment, people usually determined whether the fault occurred or not and fault degree by detecting changes in the external environment of the equipment, including abnormal sound, temperature anomaly, broken teeth, metal particles in oil, oil leakage, and other sensory properties. In this stage, people monitored and diagnosed the condition of the slewing bearings based on their previous experience. Although the methods were comparatively easy, they largely depended on the experience of technicians or scientists, which may easily contribute to low accuracy and misjudgment.

### **3.2. Stage II. Monitoring and diagnosis based on measurement equipment**

With the development of measurement techniques and computer software, the US, Japan, and some developed countries in Europe carried out studies on computer condition monitoring and fault diagnosis. These were gradually introduced to the study on condition monitoring and fault diagnosis for large slewing bearings. In 1965, James W. Cooley et al. [38] proposed the fast Fourier transformation (FFT) algorithm. From then on, signal analysis was not confined to time domain analysis but various methods based on simple spectral analysis, such as frequency spectrum, energy spectrum, power spectrum, octave spectrum, cepstrum, and time-frequency spectrum have been developed [25, 39-41]. With the development of improved sensor devices and the improvement of the software development technology, the methods of monitoring and diagnosis are no longer highly dependent on human senses and subjective experience, which greatly improved the efficiency and accuracy of signal analysis.

### **3.3. Stage III. Intelligent monitoring and diagnosis system based on big data**

Since the 1980s, with the development of computer communication technology and artificial intelligence technology, methods for condition monitoring and fault diagnosis have gradually become more systematized and integrated. The intelligent system can collect information more effectively, extract features more accurately, and analyze the data more quickly. The study of machine learning to obtain information processing technology in diagnosing machine faults is presented in [42]. Reference [43] classifies and compares the main research methods of intelligent diagnosis techniques for major equipment.

Study of the methods of condition monitoring and fault diagnosis for large slewing bearings has drawn much attention of designers and users. Currently, the technical standards and criterions of slewing bearings differ in countries and manufacturers. The manufacturers focus on improving design and manufacturing methods to increase remaining life and product competitiveness. The users focus on monitoring the working condition and the running trend of the slewing bearings to minimize the influence of the faults. In this stage, the objects of monitoring and diagnosis are extended from vibration to oil, torque, temperature, and energy loss. The general monitoring and diagnosis process of current methods for low-speed and heavy-load slewing bearings is illustrated in Fig. 4.

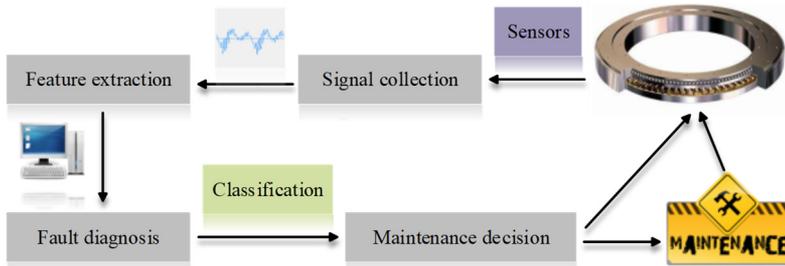


Fig. 4. The general monitoring and diagnosis process

## 4. Condition monitoring and fault diagnosis for low-speed and heavy-load slewing bearings

Recently, there has been an increase in the amount of research into condition monitoring and fault diagnosis methods for large and heavy-load slewing bearings. However, some of the research focuses on the slewing bearings with relative high revolving speed ( $\geq 10$  rpm) [44]. In many significant application fields, the revolving speed of slewing bearings is lower than 10 rpm. In this case, weak low-energy signal is easier merged by strong background noise, which would be harder to extract clear features. The current research of condition monitoring and fault diagnosis methods for low-speed ( $< 10$  rpm) and heavy-load slewing bearings has not been fully investigated. To summarize, as for the large slewing bearings with low revolving speed, the current monitoring and diagnosis methods can be roughly divided into four categories: vibration [45, 46], acoustic emission (AE) [25, 40, 47], oil condition [48-50], and temperature variation.

### 4.1. Condition monitoring and fault diagnosis based on vibration analysis

Condition monitoring and fault diagnosis methods based on vibration analysis are commonly used, including traditional methods and modern methods [51]. The vibration signals of large slewing bearings collected by the sensors are analyzed for condition monitoring and fault diagnosis. The current methods include amplitude domain, time domain, frequency domain, and time-frequency domain analysis.

#### 4.1.1. Amplitude domain analysis

Using statistical analysis to analyze sample values is called amplitude domain analysis. The parameters of amplitude domain analysis mainly include probability density, RMS, kurtosis index, skewness index, peak value, crest index, kurtosis, mean value, and margin index [51]. Amplitude probability density curve of the signal changes when the operation condition of equipment changes, which may be considered as the criterion to diagnose the condition of equipment. Mean value is used to describe the average level of the signal. In general, mean value of vibration signal is about zero. RMS is used to describe energy of signal. The stronger the vibration is, the higher RMS is, which can be used to diagnose abrasion but is not sensitive to early failure. Absolute value of vibration signal's maximum or minimum value is called peak value, which reflects the magnitude of vibration at a certain time. Peak value, kurtosis index, and kurtosis are sensitive to impact but cannot reflect the change of frequency. Considering the stability of the parameters and sensibility during the early stage of failure, people often adopt many parameters to monitor and analyze the faults constantly. For example, by monitoring the variation trend of the vibration signal's peak value, RMS, and kurtosis, the fault development trend of the early stage can be detected and can trigger an alarm if the development value exceeds the set threshold. Meanwhile, people observe and compare the shape and value of the probability density curves of normal signal to abnormal vibration signal to determine whether a fault is starting and to indicate the severity of the faults. The common amplitude domain parameters are presented in Table 2.

**Table 2.** The common amplitude domain parameters

Parameters	Computational equation
Mean value	$\mu = \frac{1}{N} \sum_{i=1}^N x_i, \quad (i = 1, 2, \dots, N)$
Mean absolute value	$ \mu  = \frac{1}{N} \sum_{i=1}^N  x_i , \quad (i = 1, 2, \dots, N)$
RMS	$RMS = \sqrt{\frac{1}{N} \sum_{i=1}^N x_i^2}$
Peak value	$\text{Peak} = \max_i( x_i ), \quad (i = 1, 2, \dots, N)$
Skewness value	$\text{Skewness} = \frac{1}{N} \sum_{i=1}^N x_i^3$
Kurtosis	$\text{Kurtosis} = \frac{1}{N} \sum_{i=1}^N x_i^4$
Waveform index	$\text{Waveform index} = \frac{RMS}{ \mu }$
Impulse index	$\text{Impulse index} = \frac{\max x_i }{ \mu }$
Crest index	$\text{Crest index} = \frac{\max x_i }{RMS}$
Margin index	$\text{Margin index} = \frac{\max x_i }{X_r}$
Skewness index	$\text{Skewness index} = \frac{\text{Skewness}}{S^3}$
Kurtosis index	$\text{Kurtosis index} = \frac{\text{Kurtosis}}{S^4}$

### 4.1.2. Time domain analysis

Determining the operating condition of large slewing bearings by analyzing time domain waveform is called time domain analysis, including correlation analysis, time domain average analysis, and time series analysis. The autocorrelation functions are different from one vibration signal to another, which can be used to determine the operating condition of large slewing bearings. Cross-correlation analysis is usually used to determine the location of fault, the number of vibration sources, and the transmission path of signal. Time domain average analysis is used in signal de-noising to eliminate interference elements of time domain signal. Time series analysis can diagnose the condition of large slewing bearings based on residual errors and coefficients of ARMA model, AR(*n*) model, and threshold autoregressive model.

### 4.1.3. Frequency domain analysis

After calculating rolling element, outer ring, and inner ring fault frequencies [52] of large slewing bearings, the vibration signal can be analyzed in frequency domain to determine the specific faults types and locations through spectrograms. The strong high-frequency noise interferes with the real measured signals so as to easily overwhelm low-energy impact signals. When the large slewing bearings faults occur, shock pulses are excited when the fault points contact other components. The broad band shock pulses can excite high-frequency vibration of a variety of equipment and the base, which makes it difficult to directly identify the characteristic frequencies using time domain and frequency domain analysis. Resonance demodulation

technology developed from vibration testing-analysis technology [53]. According to the running condition of large slewing bearings, a certain period of high-frequency natural vibration signal can be taken as the research subject. The natural vibration can be separated through a band-pass filter, which center frequency equals to natural frequency. Then, through the envelope detector, the high-frequency vibration component is eliminated and a low-frequency envelope signal is obtained that contains fault information. Finally, spectral analysis of this envelope signal can diagnose the faults of large slewing bearings [54].

#### 4.1.4. Time-frequency domain analysis

Using spectral analysis alone to deal with large slewing bearings' fault signal does not include the time-varying characteristics. Time and frequency domain analysis can describe two-dimensional distribution information of the time domain and frequency domain, allowing the characterization of local features. Time-frequency domain analysis includes Gabor Transform, short-time Fourier transform, wavelet time-frequency analysis, HHT time-frequency analysis, and ensemble empirical mode decomposition (EEMD).

Many condition monitoring and fault diagnosis studies of large slewing bearings have been conducted. Table 3 lists and analyzes the current methods based on vibration analysis. Although the methods are fully developed, most are based on theoretical models or specimens. Thus, the mathematic models and accuracy need further improvement to meet the actual operation conditions of the large slewing bearings. Based on the methods presented in Table 3, Table 4 further presents the characteristics in terms of amplitude domain analysis, time domain analysis, frequency domain analysis, and time-frequency domain analysis.

**Table 3.** A summary of current condition monitoring and fault diagnosis methods for low-speed and heavy-load slewing bearings based on vibration

Equipment	Research methods	Results analysis
Stacker-reclaimer	Resonance demodulation [53]	By removing high-frequency component in vibration signal, low-frequency fault component could be extracted.
	Largest Lyapunov exponent (LLE) algorithm [54]	As a feature extraction method for large slewing bearings, the LLE algorithm was more effectively than time domain analysis method and empirical mode decomposition (EMD).
	Circular domain analysis [55]	Some of the circular domain features could potentially identify the faults of large slewing bearings at the early stage and this method was compared with time domain analysis and EMD to explain its better effect in extracting fault features from background noise.
	EMD/EEMD [56]	The EMD and EEMD method had better effects in identifying fault frequencies than FFT.
Engineering machine	Wavelet decomposition and energy spectrum [57]	The vibration signal of large slewing bearing was decomposed into different frequency bands by wavelet decomposition. The low frequency band spectrum was selected and analyzed to diagnose the fault combined with the method of energy spectrum analysis, which was proved to be more accurate and reliable than single wavelet spectrum analysis.
	Bispectrum analysis and support vector machine (SVM) [58]	The feature vector of weak signal was extracted by bispectrum analysis and the four kinds of faults were classified and identified by SVM. The precision of this method needed to be further improved.
	Multi-scale principal component analysis (MSPCA) and EEMD [59]	The vibration signal of slewing bearing was decomposed and reconstructed to obtain better denoising effect and higher noise ratio.

Crane	Vibration energy analysis [60]	The vibration value directly reflected internal vibration condition of large slewing bearing.
Excavator	wavelet analysis, Hilbert transform and zoom spectrum analysis [61, 62]	The vibration signal of slewing bearing was decomposed and reconstructed by using wavelet theory. The characteristic frequency of fault was extracted by using Hilbert transform and zoom spectrum analysis.
	Wavelet theory and chaotic oscillator detection method [63]	The vibration signal was analyzed by wavelet decomposition and Hilbert spectrum analysis. The chaotic system, which is sensitive to weak signal and immune to the noise, could effectively detect the weak fault signal.
Wind turbine	Bispectrum analysis [64]	The fault frequencies of large slewing bearing were extracted and identified from background noise by using bispectrum analysis.
	EMD and independent component analysis (ICA) [65]	The source signal of large slewing bearing was separated by blind source separation method based on EMD and ICA. The fault frequency could be extracted accurately, which provided an effective method to solve underdetermined blind separation problem.
	Autocorrelation denoising and principal component analysis (PCA) [66]	Autocorrelation analysis was used for denoising and PCA was used for identifying normal and fault condition. The fault type of large slewing bearing could be identified by Hilbert envelope demodulation.

**Table 4.** Methods comparison

Analysis methods	Advantages	Disadvantages
Amplitude domain analysis	The parameters are usually easy to calculate and can be used to preliminary determine the faults of large slewing bearings compared with the parameters of normal slewing bearings.	The location and type of the fault cannot be diagnosed with high accuracy and the results cannot be presented visually in comparison with spectrogram.
Time domain analysis	The relationship between vibration signal characteristics and time can be visually shown.	Because low-frequency signal is easy to submerge in background noise, faults types and locations are difficult to find out only using time domain analysis.
Frequency domain analysis	Faults types and locations can be determined.	FFT is limited in analyzing measured signals which are generally non-stationary signals. Besides, time varying characteristic cannot be obtained from spectrogram due to FFT overall characteristic.
Time and frequency domain analysis	The current time and frequency domain analysis for large slewing bearings includes wavelet Transform, EMD and EEMD. The method of wavelet Transform has multiresolution characteristics. On the one hand, the vibration signal can be analyzed in time-frequency scale. On the other hand, the energy feature of different frequency bands can be extracted. The methods of EMD and EEMD are signal decomposition methods, which can decompose and reconstruct signal adaptively. Combining with other time and frequency domain analysis methods, the weak low-frequency fault feature can be extracted from strong background noise to realize denoising.	As for wavelet Transform, the study on choosing optimal wavelet basis function, decomposition level and threshold is insufficient, which cannot be selected intelligently. The problems of frequency aliasing and marginal effect of EMD and EEMD have not been eliminated thoroughly.

## 4.2. Condition monitoring and fault diagnosis based on AE technique

Structure damage identification is one of the main studies of SHM and condition assessment [67]. The measured vibration signal data are collected by sensors. But this is not the original vibration of large slewing bearings but the re-vibration. Stress and strain are the starting points to research elastic medium movement rule and wave theory [68]. The main methods of condition monitoring and fault diagnosis based on stress and strain include AE technique. AE refers to a physical phenomenon where the object under deformation or external effects rapidly releases elastic energy to generate transient a stress wave [69]. AE is sensitive to the formation of defections, which can monitor the overall development process of the internal structure damage continuously. Meanwhile, the advantages of AE technique can solve three categories of problems in structure damage identification, including whether the fault occurs or not, the location of the fault and the damage degree of the structure. The large slewing bearings always operate under alternate loading conditions. The fault degree varies according to the fault location and stress state, so the operating condition of the large slewing bearings can be monitored online and determined. AE technique is mainly used for monitoring surface fatigue cracks, fatigue failure, and exterior wear of machine parts. Many comparative studies of methods based on vibration and methods based on stress and strain have been published, which show the superiority for the monitoring of low energy and low frequency signal. The studies of condition monitoring and fault diagnosis methods for the large slewing bearings (rotation speed:  $\leq 10$  rpm) based on AE technique are presented in Table 5.

**Table 5.** Methods comparison

Research object	Rotation speed	Axial loading	Method
Outer ring raceway fatigue failure [25]	8 rpm	480 kN	The combination method of independent component analysis multivariate monitoring method, EEMD and envelope analysis (EA) could extract fault information of slewing bearing.
Inner ring raceway fatigue failure [40]	8 rpm	550 kN	The combination method of PCA multivariate monitoring method, EEMD and EA could extract fault information of slewing bearing.
Inner raceway, outer raceway and rolling element abrasion [44]	1 rpm	810 kN	Using LLE algorithm in analyzing AE signal to extract fault feature had better effect than using AE hit parameters. The method needed further verification in lower rotation speed.
Inner raceway, outer raceway and rolling element abrasion [56]	1 rpm	810 kN	The EMD and EEMD method had better effects in identifying fault frequencies of large slewing bearing than FFT.
Inner ring raceway fatigue failure [70]	8 rpm	550 kN	The combination method of kernel principal component analysis (KPCA) non-linear multivariate monitoring method, EEMD and EA could extract fault information of slewing bearing. Compared with linear PCA method, no-linear KPCA method could better meet the need of analyzing non-linearly correlated signal of large slewing bearing.
Outer raceway and rolling element abrasion [71]	1, 2 or 3 rpm	86.24 kN	The parameter distribution, parameter correlation and frequency spectrum of AE signal had been analyzed and compared between slewing bearings with and without defect, which changed with the variation of rotation speed and loading.
Outer raceway and rolling element abrasion [72]	1.07 or 2.73 rpm	86.24 kN	The fault features of outer raceway and rolling element abrasion could be roughly identified by using time-frequency domain analysis, correlation analysis and wavelet analysis.
Raceway fatigue failure [73]	$< 5$ rpm	250 kN	The typical features of amplitude, duration, energy and wave spectrum could be used to preliminary determine the fault of slewing bearing.

Compared with methods of condition monitoring and fault diagnosis based on vibration, methods based on AE technique generally have some advantages, including stronger anti-noise-interference ability, higher sensitivity, and broader frequency response. After subsequent signal processing, the acoustic emission frequency signal is more clear and pure, allowing the identification and determination of the faults, especially in the process of dealing with low-frequency signal. In the current study methods based on AE technique, there are still some problems to be resolved.

1) The studies of condition monitoring and fault diagnosis based on AE technique are generally performed by laboratory simulation. Though this research has certain development in theory, there are still some differences between current studies and real-world conditions.

2) The majority of condition monitoring and fault diagnosis studies based on AE technique are aimed at the faults of artificially damage bearings. For the low-speed and heavy-load slewing bearings, the naturally formed faults and working conditions are complex. Therefore, the research conclusions for ordinary bearings may only be used as references. Besides, the rotation speed set in the experiments is not low enough to meet the real-world conditions, which is needed for further study.

3) The equipment cost of AE technique is relative high and the alarm accuracy rate needs to be improved.

### **4.3. Condition monitoring and fault diagnosis based on oil condition**

When a large slewing bearing is operating, deformation slip happens in the contact area. For this reason, the slewing bearing must be lubricated to decrease frictional resistance. In real world conditions, the large slewing bearings are lubricated by oil and grease. Grease lubrication is used as a seal to prevent impurities from mixing in components and also to avoid oil leakage. Oil lubrication is used to reduce friction, protect against rust, and dissipate heat. When the large slewing bearing rotates, abrasive dusts caused by friction stick together in the oil or grease and continually accumulate in contact areas. Some abrasive dust can be transferred in the lubrication oil, so representative samples of abrasive dusts from the oil can be obtained and used for further qualitative and quantitative analysis. Compared with other condition monitoring and fault diagnosis methods, the methods based on oil condition not only give alarm to stop working but also detect slewing bearing's tribological change [48]. The common methods include spectrometric analysis and ferrographic analysis.

Spectrometric analysis is based on the principle of reflection and refraction. In brief, according to the spectrum differences before and after going passing through the sensors, the particle pollution levels and composition of lubrication oil can be analyzed. Finally, the abrasion of the components, faults locations, and types can be determined. This analysis method is widely used in heavy-duty machinery fields to monitor and diagnose faults, including shipping [74], crawler vehicle [75], and aero-engine [76]. In ferrographic analysis, ferromagnetic wear particles in lubrication oil can be separated by use of a high gradient and a strong magnetic field. The size and compositions of the wear particles are analyzed to determine the locations, types and degree of faults. This analysis method is also widely used in heavy-duty machinery fields to monitor and diagnose faults, including marine ship [77], aircraft [78], and diesel engine [79].

However, ferrographic analysis is suited for ferromagnetic wear particles. For non-iron wear particles, people can analyze the composition and relative content of abrasive dusts based on a combination of spectrometry and ferrographic analysis. In [48], the authors successfully identified the faults of the large slewing bearings in port wheel loaders by using a combination of spectrometric analysis and ferrographic analysis.

### **4.4. Condition monitoring and fault diagnosis based on temperature variation**

The surface temperature and temperature field distribution of large slewing bearings vary with

the change of loading and environment temperature. When the slewing bearings break out with lubrication shortage, the friction and collision caused by relative displacement between components become aggravated. Next, the heat transfer conditions get worse, leading to an increase in temperature compared with normal condition signals. So, monitoring the temperature variation can determine the faults condition, and the temperature signals change slowly and are easily affected by changes in the environmental conditions. Therefore, condition monitoring and fault diagnosis based on temperature variation are usually considered as supplementary means to be combined with other methods to monitor the working condition of large slewing bearings [9].

## 5. Current needs and challenges of the studies

Compared with condition monitoring and fault diagnosis methods for ordinary bearings, there are much fewer studies on low-speed and heavy-load slewing bearings. We present current needs and challenges of the studies from the following aspects.

### 5.1. Measuring instruments and measuring points

Measurement range and arrangement of sensors can influence signal acquisition. The majority of measured signals were collected in the laboratories and one single fault rather than complicated faults is analyzed. A large slewing bearing connects two relative rotating parts and works in complex environment. When the bearing breaks down, more than one kind of fault probably occurs. On the other hand, the faults of slewing bearings studied in current methods were almost artificial damage, which was a little different form naturally formed damage. In general, the accelerometer and AE sensors were placed on the radial surface at an angle of  $90^\circ$  relative to each other around the ring (see Fig. 5).

In real world operating condition, slewing bearings are sealed in machines and fully lubricated so the sensors are expected to be fix stably. Besides, low-frequency vibration signals are often submerged in strong background noise and the sensors which can collect ultra-low frequency vibration are needed. Thus, people need further study to fix the measure points stably and reduce interference of other signals.

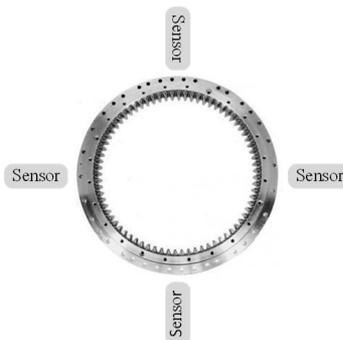


Fig. 5. The arrangement of sensors

### 5.2. Integration and intellectualization

The current methods are still relatively independent and people rarely use a variety of methods to synchronously monitor the faults of large slewing bearings, which are hard to form a complete fault evaluation system. In future, it is necessary to establish integrated system to monitor vibration, AE signals, current, voltage, oil pressure, and temperature, which can help improving stability of the condition monitoring and fault diagnosis system. On the other hand, the automation of current methods is weak and people need to diagnose faults and determine their locations

regularly. For complex monitoring equipment, the installment and dismantle process are difficult. Therefore, the realization of highly intellectualization and automation is also the direction of future studies.

### 5.3. Residual useful life (RUL) prediction

One of the purposes to monitor condition and diagnose faults is for predicting RUL. RUL prediction is an important guarantee of realizing operation reliability. This research direction is the current hot topic among scholars. Feng Y. et al. [80] proposed a method to predict RUL based on a residual life reliability prediction model. First, a reliability prediction model was built based on Weibull distribution. Then, the Weibull distribution parameters were estimated through an accelerated life test. Finally, the model was verified by experimental slewing bearings life tests. Feng Y. et al. [81] proposed a method to predict the large slewing bearings' RUL based on EEMD-PCA and least squares support vector machine (LSSVM). First, vibration signals of the large slewing bearings were extracted and were then decomposed into several Intrinsic Mode Functions (IMFs) by EEMD. Then, PCA was applied to illustrate the trends of each IMF and some of the IMFs contributing to reveal the degradation process were chosen to reconstruct the signals. Finally, a prediction model based on LSSVM was built and verified to explain the degradation process of the tested slewing bearings. Lu C. et al. [82] proposed a method to predict the large slewing bearings' degradation trend based on LSSVM. First, multi-feature vectors were extracted. Then, PCA was applied to vectors for deducing the degradation process. Finally, a prediction model based on LSSVM was verified its validity by experiment.

Fengtao Wang proposed the main idea of the paper and made guidance in whole process. Chenxi Liu finished the main part of the paper. Wensheng Su assisted the field investigation. Zhigang Xue provided the photos of the paper. Hongkun Li made the theoretical support and suggestions on revision. Qingkai Han made the theoretical support and method guidance.

## 6. Conclusions

Condition monitoring and fault diagnosis methods for low-speed and heavy-load slewing bearings have practical significance. The current methods are mainly applied to the experimental signals and need to be further verified in complex conditions. In future, the factors of technology, economy, and application need to be taken into account to complete condition monitoring, fault diagnosis, and RUL prediction studies as well as improve operational reliability of large slewing bearings.

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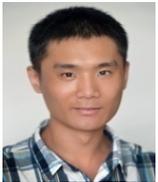
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